



Dear Prospective Investor,

Thank you for your interest in CanAm's Regional Centers. Attached please find our *Preliminary* and *Secondary Questionnaires* for the Pennsylvania DCED Regional Center.

The *Preliminary Questionnaire* is part of the due diligence responsibilities required by our escrow agent pursuant to the escrow agreement. The *Secondary Questionnaire* is used to determine your eligibility as a prospective investor for the EB-5 Program.

***Instructions:***

Each questionnaire must be filed out in its entirety. To ensure that your information will be reviewed promptly, please be sure to:

1. Sign and date each questionnaire.
2. Provide a copy of a valid passport and/or current US Visa (if applicable).
3. Scan and email to [info@canamenterprises.com](mailto:info@canamenterprises.com), or fax to 212-668-0691.

Very truly yours,

CanAm Enterprises, LLC  
Wall Street Plaza - 88 Pine Street  
New York, NY 10005  
(212) 668-0690 Ext. 21

**PENNSYLVANIA U.S. IMMIGRANT INVESTMENT FUND  
Preliminary Investor Questionnaire\***

**Please attach one (1) copy of the personal data page of your current passport**

**Investor Information**

Name: \_\_\_\_\_  
(LAST) (FIRST) (MIDDLE)

Home address: \_\_\_\_\_  
(STREET)  
 \_\_\_\_\_  
(CITY) (STATE) (ZIP/POSTAL CODE)

Place of Birth: \_\_\_\_\_  
(CITY) (STATE) (COUNTRY)

Date of Birth: \_\_\_\_/\_\_\_\_/\_\_\_\_ Country of Citizenship: \_\_\_\_\_  
(MONTH / DAY / YEAR)

Marital Status: \_\_\_\_\_ Spouse Name: \_\_\_\_\_

Date of Marriage: \_\_\_\_/\_\_\_\_/\_\_\_\_ Spouse Date of Birth: \_\_\_\_/\_\_\_\_/\_\_\_\_  
(MONTH / DAY / YEAR) (MONTH / DAY / YEAR)

Place of Birth: \_\_\_\_\_ Nationality: \_\_\_\_\_  
(CITY) (STATE) (COUNTRY)

**Children's Information**

<b>Name</b>	<b>Date of Birth (mm/dd/yyyy)</b>	<b>Place of Birth (City, State, Country)</b>	<b>Nationality</b>
	/ /		
	/ /		
	/ /		
	/ /		

Have you or your spouse ever been denied entry to the U.S.? If yes, please briefly explain the circumstances.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Have you or your spouse ever been convicted of a crime? \_\_\_\_\_

\_\_\_\_\_

Do you know of any medical condition that may disqualify you, your spouse or your children under the age of 21 from receiving U.S. immigrant visas?

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Summarize your past work experience(s) (job title, dates started/ended, and company name(s)), including your present occupation.

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Will you be able to clearly document that the cash required to make your investment comes from a lawful source such as profit or earnings from a business, the profits or proceeds from the sale of real estate, or the profits or earnings from stock or other investments, inheritance, gifts or loans?

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Summarize how you acquired your wealth, including the source of the investment funds. \_\_\_\_\_

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(SIGNATURE OF APPLICANT)

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(MONTH / DAY / YEAR)



**APPLICANTS LIVING IN THE UNITED STATES**

U.S. ADDRESS \_\_\_\_\_  
(Street # and Name) (Apt. #)

\_\_\_\_\_  
(City or Town) (State or Province)

\_\_\_\_\_  
(Country) (Zip or Postal Code)

DATE OF ARRIVAL \_\_\_\_/\_\_\_\_/\_\_\_\_ TYPE OF VISA: \_\_\_\_\_  
(MM) (DD) (YYYY)

I-94 # \_\_\_\_\_ CURRENT NON-IMMIGRANT STATUS \_\_\_\_\_  
(ARRIVAL AND DEPARTURE RECORD #)

EXPIRES ON \_\_\_\_/\_\_\_\_/\_\_\_\_  
(MM) (DD) (YYYY)

**GENERAL IMMIGRATION QUESTIONS**

ARE YOU CURRENTLY A GREEN CARD HOLDER? (PLEASE CIRCLE ONE) YES NO

IF YES, WHEN DOES IT EXPIRE? \_\_\_\_\_

HAVE YOU PREVIOUSLY HELD A US TOURIST OR BUSINESS VISA (A B1 OR B2 VISA)?  
(PLEASE CIRCLE ONE) YES NO

IF YES, WHAT TYPE OF VISA? \_\_\_\_\_

IF YES, WHEN WAS YOUR LAST DATE OF ENTRY? \_\_\_\_\_

HAVE YOU PREVIOUSLY APPLIED FOR A US IMMIGRANT OR NON-IMMIGRANT VISA AND BEEN  
DENIED? (PLEASE CIRCLE ONE) YES NO

IF YES, PLEASE PROVIDE DETAILS WHY APPLICATION WAS DENIED \_\_\_\_\_

IS THERE ANYTHING IN YOUR RECORD THAT WOULD LEAD TO A DENIAL OF YOUR VISA AT THE  
US CONSULATE INTERVIEW OR CHANGE OF STATUS (I-485) LEVEL OF THE EB-5 VISA PROCESS?

IF SO, PLEASE EXPLAIN \_\_\_\_\_

ARE THERE ANY CHILDREN LISTED ON THE PRELIMINARY QUESTIONNAIRE THAT WILL TURN 21  
WITHIN THE NEXT YEAR? (PLEASE CIRCLE ONE) YES NO

IF YES, PLEASE PROVIDE NAME AND DATE OF BIRTH OF CHILD \_\_\_\_\_

IS THERE ANY OTHER RELEVANT INFORMATION REGARDING YOUR CURRENT IMMIGRATION  
THAT WE SHOULD BE AWARE OF FOR YOU OR ANY MEMBER OF YOUR FAMILY? (PLEASE  
PROVIDE DETAILS BELOW) \_\_\_\_\_

**PRIMARY APPLICANT'S NET WORTH**

PLEASE DOCUMENT US\$1MM IN NET WORTH BELOW.

Qualifying investors currently in the US on a non-immigrant visa need to be **accredited investors**. An accredited investor is a term defined by various security laws that describes investors permitted to invest in certain types of higher risk investments, limited partnerships, hedge funds and angel investor networks. In the US an individual is considered to be an accredited investor if he or she has a net worth of at least US\$1 million (excluding the primary residence) or has made at least US\$200,000 each year for the last two years (US\$300,000 with spouse if married) and has the expectation to make the same amount in the current year. Even if you are residing outside the US and do not need to be an accredited investor, you need to document sufficient funds to show you can support self and/or family after immigration.

Please complete all sections below to the best of your ability so that we can evaluate the best funds to be used for immigration purposes. Under Source of Fund column, please note where the funds originated from, i.e. checking account \$250,000 from earned business income; savings account \$50,000 from the sale of boat.

LOCATION OF ASSETS:       UNITED STATES                       ABROAD                       BOTH

IF ABROAD, WHICH COUNTRY(IES)? \_\_\_\_\_

<u>ASSETS</u>	<u>AMOUNT</u>	<u>SOURCE OF FUND</u>
CHECKING ACCOUNT	\$ _____	_____
SAVINGS ACCOUNT	\$ _____	_____
INVESTMENTS	\$ _____	_____
(STOCKS, BONDS & MUTUAL FUNDS)		
BUSINESS INCOME	\$ _____	_____
REAL ESTATE	\$ _____	_____
INHERITANCE	\$ _____	_____
GIFT*	\$ _____	_____
LOAN **	\$ _____	_____
OTHER	\$ _____	_____
(EXPLAIN ON SEPARATE SHEET)		
<b>TOTAL</b>	\$ _____	

\* Please note, if asset is a gift, source of funds for the gift needs to be explained. i.e. gift from parents of \$250,000, source of funds sale of parent's real estate.

\*\* Please note, we only accept loans made from a bank or other lending institutions such as home equity lines of credit.

<b><u>LIABILITIES</u></b>	
MORTGAGE	\$ _____
OTHER LOANS (EXPLAIN ON SEPARATE SHEET)	\$ _____
<b>TOTAL</b>	\$ _____
 <b>TOTAL NET WORTH</b>	 \$ _____

\*NOTE: To satisfy banking laws, the above information will be shared with U.S. BANK NATIONAL ASSOCIATION, the Escrow Agent for the Fund.

**SOURCE OF FUNDS FOR INVESTMENT**

**\*Please use an additional sheet if necessary.**

Please be as specific as possible when completing the information below.

OF THE ABOVE ASSETS, WHICH WILL CONTRIBUTE TO THE INVESTMENT?

<u>ASSETS</u>	<u>AMOUNT</u>	<u>SOURCE OF FUND</u>
_____	\$ _____	_____
_____	\$ _____	_____
_____	\$ _____	_____
_____	\$ _____	_____
<b>TOTAL INVESTMENT</b>	<b>\$ 539,000</b>	

**ADDITIONAL INFORMATION REQUESTED**

HAVE YOU RETAINED AN IMMIGRATION ATTORNEY? (PLEASE CIRCLE ONE)      YES      NO

IF SO, PLEASE PROVIDE CONTACT INFORMATION FOR OUR FILES \_\_\_\_\_

\_\_\_\_\_

IF NO, DO YOU NEED A REFERRAL? (PLEASE CIRCLE ONE)      YES      NO

HOW DID YOU HEAR ABOUT CANAM ENTERPRISES AND OUR PROGRAMS?

\_\_\_\_\_

WERE YOU REFERRED TO US BY SOMEONE? (PLEASE CIRCLE ONE)      YES      NO

IF YES, PLEASE PROVIDE NAME AND CONTACT INFORMATION FOR OUR FILES \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

*The information above is accurate and has been completed to the best of my ability.*

\_\_\_\_\_  
SIGNATURE OF APPLICANT

\_\_\_\_\_  
DATE OF SIGNATURE